

**AERONAUTICAL CHARTING FORUM**  
**Instrument Procedures Group**  
**October 29, 2013**

**HISTORY RECORD**

**FAA Control # 13-02-312**

**Subject: Equipment Requirement Notes on Instrument Approach Procedures**

**Background/Discussion:** Two recommendations were received independently relating to this issue. At the request of the ACF-IPG Chair, the recommendations were combined and a single recommendation is submitted jointly by Richard Boll on behalf of NBAA and Bruce Williams, a Certified Flight Instructor and FAASTeam Representative from Seattle, WA.

FAA Aeronautical Information Manual 5-4-5, paragraph 3 (b) states the following:

- (b) In some cases, other types of navigation systems including radar may be required to execute other portions of the approach or to navigate to the IAF (e.g., an NDB procedure turn to an ILS, an NDB in the missed approach, or radar required to join the procedure or identify a fix). *When radar or other equipment is required for procedure entry from the en route environment, a note will be charted in the planview of the approach procedure chart (e.g., RADAR REQUIRED or ADF REQUIRED). When radar or other equipment is required on portions of the procedure outside the final approach segment, including the missed approach, a note will be charted in the notes box of the pilot briefing portion of the approach chart (e.g., RADAR REQUIRED or DME REQUIRED).* Notes are not charted when VOR is required outside the final approach segment. Pilots should ensure that the aircraft is equipped with the required NAVAID(s) in order to execute the approach, including the missed approach.

These charting requirements are contained within FAA Order 8260.19E, Flight Procedures & Airspace, Paragraph 8-55 h, Equipment Requirement Notes, sub-paragraphs (1) and (2):

**h. Equipment Requirement Notes.** Determine the need for equipment notes after evaluating all SIAP segments, including missed approach.

- (1) Where certain equipment is **required for procedure entry** from the en route environment, enter the following in Additional Flight Data: “**Chart planview note: ADF REQUIRED**”; or, “**ADF OR DME REQUIRED**.”
- (2) Where other navigation equipment is **required to complete the approach**; e.g., VOR, ILS, or other non-ADF approaches requiring ADF or DME for missed approach, use: “**Chart note: ADF required**”, or “**Chart note: DME required**.” When radar vectoring is also available, use: “**Chart note: ADF or Radar required**.”
- (3) Where radar is the only method of determining or defining a terminal fix, use: “**Chart note: Radar Required**.” See paragraph 8-55g(2) note.

- (4) ILS/LOC procedures that require RNAV for all segments leading to the intermediate fix, use: "**Chart Planview Note: GPS REQUIRED.**"
- (5) ILS/LOC procedures that contain both conventional and RNAV segments must have a note in the Planview, adjacent to the applicable fix where the segment begins, use: "**Chart planview note adjacent to (fix name): GPS Required.**"
- (6) GLS procedures require the use of GPS to navigate to the GLS final approach segment and execute the missed approach. Use: "**Chart Note: GPS REQUIRED.**"

In certain cases, the application of these charting requirements can result in two separate equipment restriction notes on the same instrument approach charts (see Figures 1 **and 1A**, Marion IL - KMWA, ILS Runway 20). As can be seen on this example, there is a note in the briefing strip notes that states: "ADF Required". However, in the planview of this same chart there is a note stating: "ADF or DME Required". These two notes are confusing to the pilot since it is unclear whether ADF is really required, or can DME substitute for the ADF on this approach? The pilot has no way of determining immediately whether these notes are inclusive of either other (i.e. either ADF or DME is required) or whether they are mutually exclusive of each other (i.e. an ADF is always required).

Medford, OR (KMFR) ILS or LOC/DME Runway 14 approach (Fig 2) provides another example of an approach with confusing notes. For this approach, a "DME required" is published in the Briefing Strip because DME is required to fly the missed approach procedure. The planview note, "DME or Radar Required" is published because DME or radar is required for procedure entry.

On a commercially produced version of this same procedure (see Fig 3), a "DME or Radar Required" note is published in the Briefing Strip. Note that this provider publishes the "DME Required" as a minima note associated with the LOC (GS-Out) line of minima. However, DME is required to execute the missed approach segment, regardless of whether the ILS minima or the LOC-only minima are used, and therefore applies to both the ILS and the LOC (GS-Out) lines of minima.

A careful read of the AIM guidance indicates that the briefing strip note and planview note are mutually exclusive and both equally apply to the approach. Therefore, the most restrictive note establishes the equipment requirement on the approach. However, this is not clear to the pilot on the approach chart, which is what he/she is referencing when flying the approach.

NBAA believes that the reason behind the additional equipment requirement is irrelevant as both equally restrict the ability of the pilot to fly the procedure based on aircraft equipage. The current charting specification requires the pilot to check two different places on the instrument approach chart to determine if any additional equipment is required for the approach. The fact that information contained in these two locations may contradict each other results in unnecessary work (decision tree) and unnecessarily complicates the approach.

**Recommendations:** Depict equipment requirements to enter or complete any segment the approach prominently on the chart in single location. Specifically, we recommend:

1. Revise FAA Order 8260.19E paragraph 8-55 h. Chart all equipment requirement notes in a single location. While NBAA favors placing this note on the Planview, we request that FAA assess the proper location for this note on US government charts based on their human factors research conducted as part of the VOLPE approach chart format study with the added consideration of the transition from pre-composed paper charts to electronic, data-driven charts.
2. Evaluate all requirements to execute the approach. Determine if any single aircraft equipment or navigation source (e.g. DME, Radar) is required for the approach and publish that requirement on the chart. For example, on the MWA ILS Rwy 20 approach, publish only “ADF Required”. The availability of DME is irrelevant to being able to fly this procedure since ADF is always required to fly the procedure.
3. When multiple equipment options are available, restrict the use of the “or” conjunction to those situations where that option is permissive on all segments of the approach (except the final segment).

We further recommend that FAA revise the AIM section 5-4-5 guidance, FAA-H-8083-15B Instrument Flying Handbook, and FAA-H-8261-1A - Instrument Procedures Handbook concurrent with the change to the 8260.19, reflecting the revised equipment note requirements.

**Comments:** This recommendation affects:

1. FAA Order 8260.19
2. Aeronautical Information Manual 5-4-5.
3. FAA-H-8083-15B Instrument Flying Handbook
4. FAA-H-8261-1A - Instrument Procedures Handbook

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Fig 1:

U.S. Department of Transportation Federal Aviation Administration		ILS - STANDARD INSTRUMENT APPROACH PROCEDURE TITLE 14 CFR PART 97.29					
TERMINAL ROUTES		COURSE AND DISTANCE		ALTITUDE		MISSING APPROACH	
FROM	TO					LOC:	4.57 MILES AFTER
MWA VOR/DME ENL VORTAC	JONNY LOM/MWA VORDME 5.45 JONNY	016.97/5.45 161.58/35.94		2000 2100		ILS: AT THE DH: JONNY LOM/MWA 5.45 DME CLIMB TO 1500 THEN CLIMB LEFT TURN TO 2000 DIRECT JONNY LOM AND HOLD, OR WHEN DIRECTED BY ATC, CLIMB TO 1000 THEN CLIMB RIGHT TURN TO 3000 VIA MWA R-216 TO MAKAN INT AND HOLD SW, RT, 036.48 INBOUND.	
<p>ADDITIONAL FLIGHT DATA:  <u>FAS OBST: 589 TREE 374843N0890021W</u>  <u>CHART: ALTERNATE MA HOLDING, HOLD SW MAKAN, RT,</u>  <u>036.48.</u>  <u>CHART: TDZE 468</u>  <u>CHART IN PLANVIEW: MAKAN INT / MWA 10-17 DME</u>  <u>CHART PLANVIEW NOTE: ADF OR DME REQUIRED</u></p>							
1. PT SIDE OF COURSE OUTBOUND FT WITHIN _____ 2. HOLD N JONNY, LT, 201.66 INBOUND, 2000 FT. IN LIEU OF PT (IAF).		MILES OF _____		(IAF)			
3. FAC: 201.66 FAF: JONNY		DIST FAF TO MAP: 4.57		THLD: 4.57			
4. MIN. ALT: JONNY 2000		IM: - 150 HAT: - 100 HAT: - GS ANT: 910		OM: 1990 MM: - IM: -			
5. DIST TO THLD FROM OM: 4.57 MM: -							
6. MIN GS INCPT: 2000 GS ALT AT: 3.00 TCH: 49.9							
7. GS ANGLE: 3.00 TCH: 49.9							
8. MSA FROM: MW LOM 2700							
MINIMUMS							
TAKEOFF:	STANDARD	X	SEE FAA FORM 8260-15A FOR THIS AIRPORT	ALTERNATE: N/A	C	D	LOC: STANDARD@ E
CATEGORY =====>	A	B	HAT/HAA DH/ MDA VIS HAT/HAA DH/ MDA VIS HAT/HAA DH/ MDA VIS HAT/HAA				
S-I LS	20	668	1/2 200 668 1/2 200 668 1/2 200 668 1/2 200 668 1/2 200				
S-L LOC	20	840	1/2 372 840 1/2 372 840 1/2 372 840 1/2 372 840 1/2 372				
CIRCLING		920	1 448 940 1 468 940 1 468 940 1 468 940 1 468 940 1 468				
NOTES: <u>CHART NOTES: ADF REQUIRED.</u> <u>IF LOCAL ALTIMETER SETTING NOT RECEIVED, USE CARBONDALE-MURPHYSBORO ALTIMETER SETTING AND INCREASE ALL DAM/DAs 40 FEET.</u>							
CITY AND STATE	ELEVATION:	472	TDZE:	468	FACILITY IDENTIFIER:	PROCEDURE NO./AMDT NO./EFFECTIVE DATE:	PAGE OF PAGES
MARION, IL	AIRPORT NAME:				I-MWA	MAY 1 2005 IIS OR LOC RWY 20, AMDT 12	11A 12/08/1998

Fig 1A

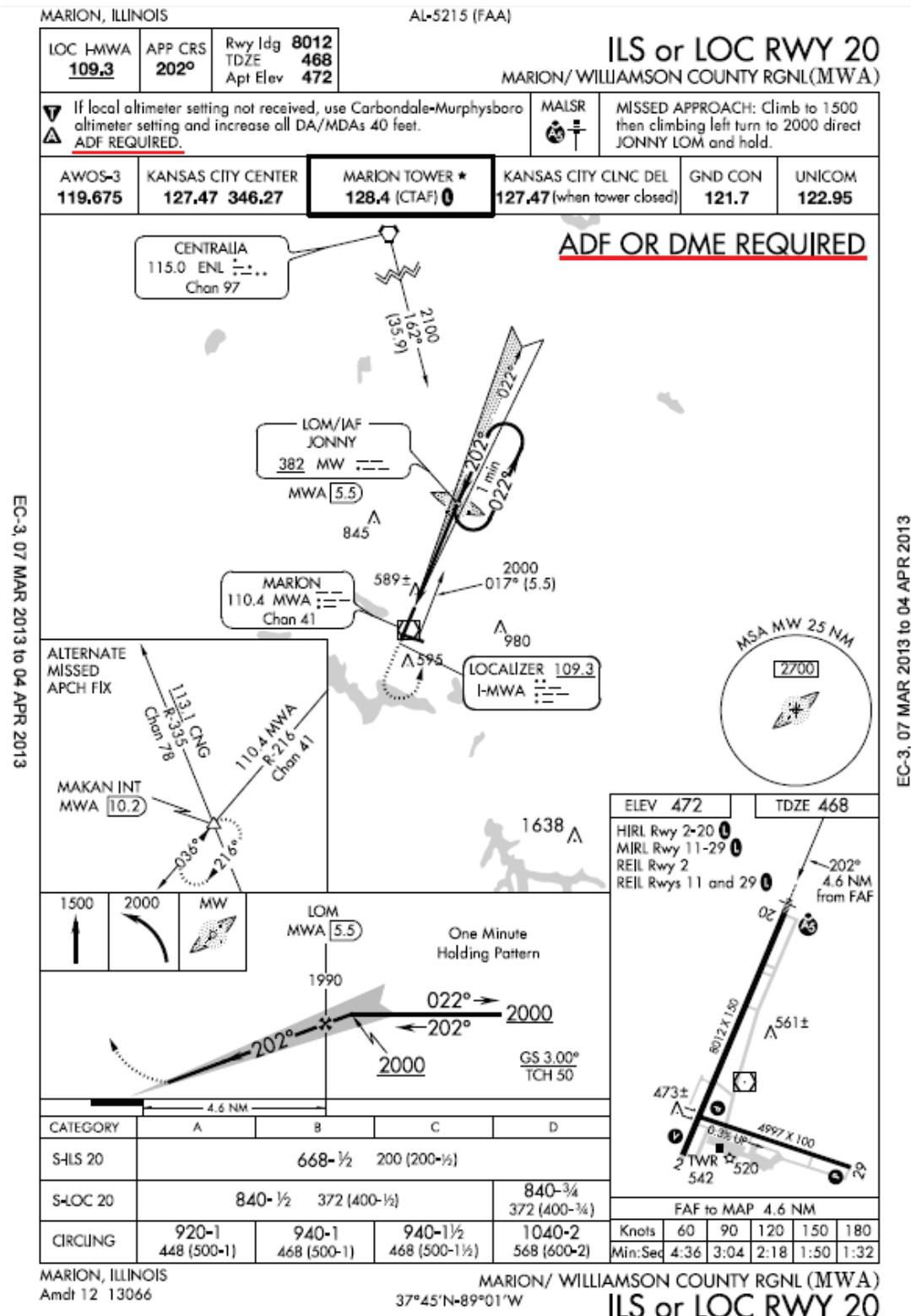


FIG 2:

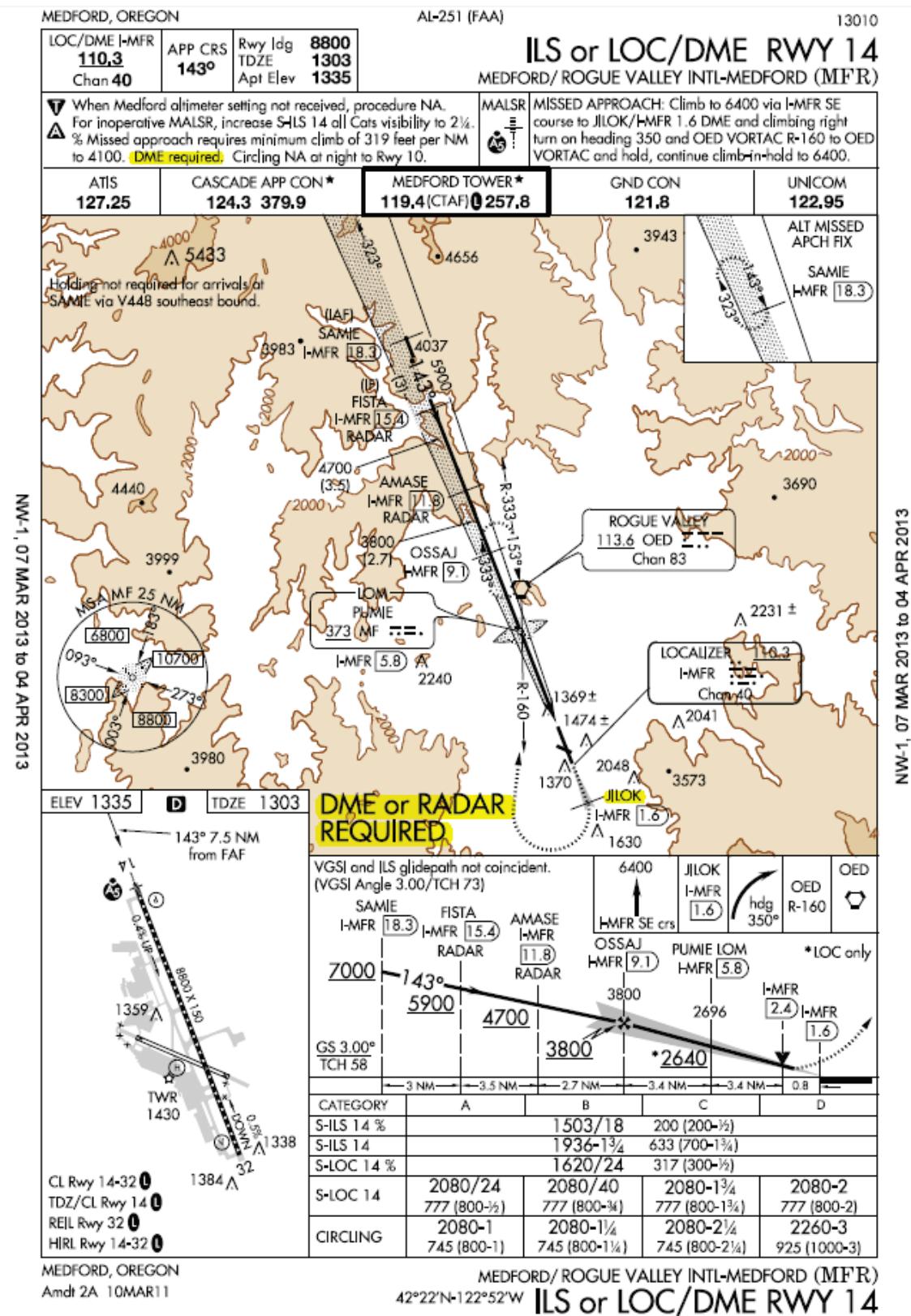
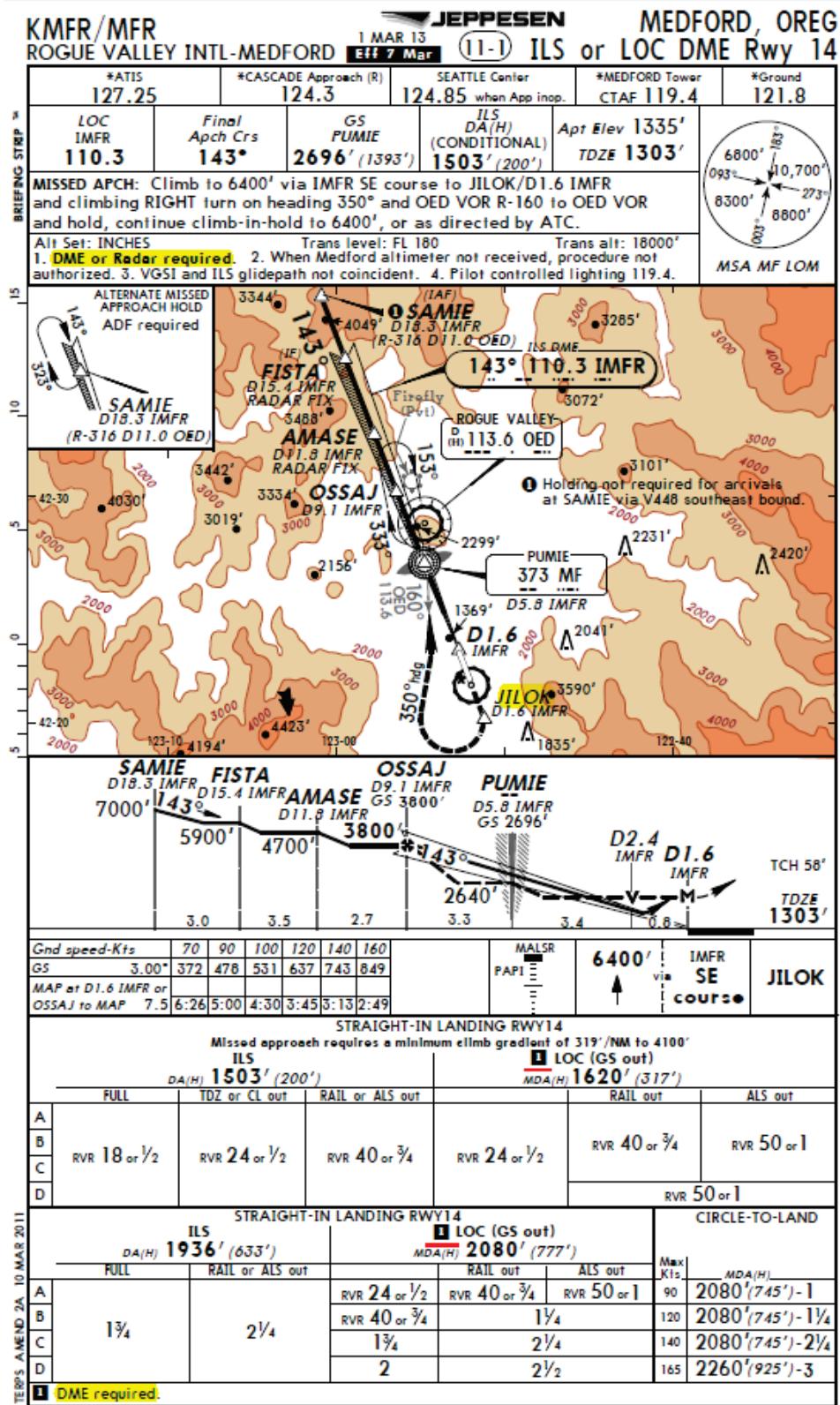


Fig 3.



## **CHANGES: ATIS.**

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**Initial Discussion – MEETING 13-02:** New issue presented by Rich Boll, NBAA, as a joint submission on behalf of NBAA and Bruce Williams, CFI and FAASTeam Member, Seattle, WA. Rich presented background info (I), highlighting the duplication (both plan view and briefing strip) of chart notes on some procedures. Rich and Bruce both recommend that FAA determine the most critical equipment requirement and publish a single note regardless of whether that equipment is required to enter the procedure or to fly it. Tom Schneider, AFS-420, briefed that split notes are published as a direct result of ACF Charting Group consensus on CG issue 01-01-137. A long discussion followed after which Tom asked if the group had any objection to charting just one note in the briefing strip. Brad Rush AJV-3B questioned if IPG was initiating policy. Tom responded no; however, it is helpful to get users consensus **prior to** writing policy/criteria. Kevin Bridges, AIR 130, asked the benefits of the equipment notes. Rich responded that pilots must know what equipment is required to fly the approach. If something more than what the title suggests is necessary, then that equipment must be noted for the pilot. The general consensus is that FAA should determine the most critical equipment necessary for the approach and publish one note in the briefing strip. Tom recommended an AFS-410/420/470 working group be formed to address the issue and report back to the ACF. **ACTION: AFS-410/420/470.**

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**MEETING 14-01:** Kel Christianson, AFS-470, discussed the possibility of an equipment requirements box on PBN approach charts. Once this happens, consideration will be given to apply this to conventional procedures for consistency, to show the most restrictive requirements needed to fly a given approach. Val Watson, AJV-3, remarked that the PBN Requirements box standard is years in the future, and that today we alert users to equipment requirements via the position (planview or briefing strip) of an equipment note; one position for equipment required for joining the approach and one for that required to fly the approach itself. Tom Schneider, AFS-420, stated “yes” and that is the confusing convention utilized for years. The charting convention is explained in the AIM, but most pilots do not carry an AIM to readily access when faced with this confusion. Ted Thompson, Jeppesen, said the location on the chart was intended to infer the meaning, but because the notes were sometimes repetitive, it became confusing. Kel said this is a work in progress on the PBN side, as a separate block will be used below title line and above notes section, telling exactly what is needed to fly the approach. The question is can it then be brought over to the conventional side. Ted said this is mixing apples and oranges, taking PBN efforts over to conventional. Tom’s concern is making PBN changes now, and then later making similar changes to conventional (same concept). Ted is all in favor of that idea if we do not make it more confusing. Mark Steinbicker, AFS-470, said we are trying (ongoing effort) to make charts more specific and consistent. A question for ACF is since there is an active group (i.e. PARC) in PBN charting how is this issue to be worked. Is it FAA internal (US-IFPP) or for a working group/action team to collaborate? Tom would not envision the US-IFPP working this issue. The ACF is currently working the agenda item, so once the charting aspect is established, AFS-420 would put requirements in Order 8260.19 to advise developer what to put on 8260-series Forms. Mark does not want the PBN work group distracted by this endeavor. Tom said the IOU on this item is AFS-410/470 from an OPS perspective and with reference to what pilots want to see. Tom asked how the group should approach this? Mark suggested that as there are folks interested in this issue in attendance at the ACF, a workgroup be formed. Tom provided a sign-up sheet for an Equipment Requirements Notes sub-group. (I)

**Status:** Equipment Requirements sub-group chaired by AFS-410/470 will report results of meeting at the next ACF. [Item Open \(AFS-410/470\)](#).

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**MEETING 14-02:** John Blair, AFS-410, briefed the status and stated his belief that it would be beneficial to have consistent chart placement of a box with required equipage (items not in procedure title) for conventional and PBN approaches. This would enhance preparation and briefing of the approach. Tom Schneider, AFS-420, referred to PBN information box work in progress and Kel Christianson, AFS-470, said that for approaches, the group briefed last time that equipment requirements would be published above the existing notes box of the briefing strip. He briefed that this should be done initially with conventional procedure information, then as PBN information is developed and finalized, it can be placed in the same location. Rich Boll, NBAA, said the original issue was about both contradictory information and various locations of information on the approach plate/chart, and inquired about the 2020 timeframe on PBN information. Ted Thompson, Jeppesen, brought up that chart note placement has historical inferences: placement in the planview means one thing and briefing strip placement means another. If equipment notes were consolidated to perhaps publication of the most onerous one and placed in a standardized location, much pilot confusion may be alleviated. The nuances of note placement are fairly subtle and are probably often misinterpreted. There may also be a need to enhance wording for applicability to a certain phase of the procedure. Rick Dunham, AFS-420, acknowledged the concerns of the group, but stated that staffing dictates that at this time this is not a high priority issue. A work group formed at ACF14-01 did not meet, although there is still interest. A copy of the previous work group list was read and is included (I).

**Status:** AFS-410 will work toward scheduling a meeting with those signed up to participate and report back at the next meeting. [Item Open: AFS-410](#)

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**MEETING 15-01:** Mike Webb, AFS-420, briefed (I) regarding a sub-group that met to formulate ways to de-conflict required equipment information on charts; i.e., briefing strip notes vs planview notes may list different requirements than the procedure title indicates. This sub-group broke out what was specifically required to enter the procedure and fly the entire procedure in addition to Final Approach Segment requirements. The goal is to locate information in one spot on U.S. Government charts to help the pilot determine requirements (this process should also work on non-government vendor chart vendor products). Just as the PARC recommendation (with VOLPE input) for a PBN information box, the result is having an “equipment requirements box,” as shown on the slide, in the same location on each chart. Ted Thompson, Jeppesen, stated that if a note applies in general, you want that in one location, but if a note has applicability to a specific point in space, it should be attributed to the point where it applies. Mike asked for ACF direction/input from here. The move is for standardization. The ACF attendees liked the proposal and the sub-group will formalize it as a recommendation. Tom Schneider, AFS-420, advised that any changes will be submitted in Order 8260.19H (at the earliest). Tom took an IOU to work on draft language for the Order 8260.19H, in consultation with Valerie Watson, AJV-553, to facilitate charting issues. Ted recommended drafting language in a way that also supports long term PBN goals for

notes. Recommendations should include what to name the box so that it encompasses both conventional and RNAV type instrument procedures.

**Status:** Sub group will formalize recommendations. Tom took an IOU to work on draft language for the Order 8260.19H. [Item Open: AFS-420/ AFS Sub Group](#)

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**MEETING 15-02:** Mike Webb, AFS-420, briefed (T) proposed changes to get consensus on the equipment requirements box usage from the forum so that work can commence on the IACC specifications. A decision must also be made regarding PBN procedures (PBN requirements box) utilizing the same space as conventional procedures equipment requirements box. The effort is to consolidate the notes for equipment requirements that are scattered on the plan view and in the briefing strip into one place in the briefing strip for the pilot. The goal from the ACF discussion today was to gather opinion on whether to also specify what portion of the approach requires the specified equipment. Group discussions followed on several related topics including: What would notes look like; examples of PBN/conventional/missed approach shown; PBN to conventional (i.e., RNAV transition to an ILS); procedure naming differences (i.e., title of the procedure) between the U.S. and overseas (i.e., changing from RNAV to RNP outside U.S. and not here). Rick Dunham, AFS-420, said FAA current position is no change to procedure naming. Questions were raised about ICAO standards and pilots understanding the differences. There was discussion on NavSpecs (i.e., AC-90-100A/101A/105A/107) with concerns raised on the process of having to learn all the capabilities defined under current and future NavSpecs. There were questions on apparent redundancy for GPS in notes. It was brought up whether there would be too much text information in one box (Ted Thompson, Jeppesen, says yes) resulting in volumes of text like on SIDs & STARs. Radar Required – what does that mean and why necessary came up again and Tom Schneider, AFS-420, advised that is spelled out in current policy and it is explained in the AIM.

In summary, there was support for the equipment requirements box idea. Rick said we need input/feedback, since the goal is to simplify the planview and create a briefing strip for the pilot to focus in on when determining requirements for executing the approach. Brad Rush, AJV-54, questioned the required level of detail needed in the requirements box to fly the approach. Valerie Watson, AJV-553, said the question is do we put only those notes pertaining to the entire procedure (FAC, Missed or all transitions) in the briefing strip and those uniquely pertaining to transitions in the planview, or do we place ALL equipment requirement notes in briefing strip. Mike Webb said that all participants need to mark up the slides presented today and send back to us as specific feedback. Tom requested that all participants also review FAA Order 8260.19 draft data (T) and comment on that as well.

**Status:** All participants to please review the presentations and forward input to Mike Webb and Tom Schneider. [Item Open: AFS-420](#).

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